

**Transformations**

A **transformation**,  $T$ , from  $\mathbb{R}^n$  to  $\mathbb{R}^m$  is a function that assigns to each vector in  $\mathbb{R}^n$  a unique vector in  $\mathbb{R}^m$ . If  $T(\vec{x}) = \vec{b}$ , we say that  $\vec{b}$  is the **image** of  $\vec{x}$  under  $T$ .  $\vec{x}$  is a **preimage** of  $\vec{b}$ .

$\mathbb{R}^n$  is called the **domain** of  $T$  and  $\mathbb{R}^m$  is called the **codomain** of  $T$ . The set of all images found under  $T$  is called the **range** of  $T$ .

**Example**

Suppose that  $T$  is the transformation defined by the rule  $T\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} x_2 \\ 0 \end{bmatrix}$ . What are the domain,

codomain, and range of  $T$ ? What is the image of  $\vec{x}$  where  $\vec{x} = \begin{bmatrix} 5 & -2 & -7 \end{bmatrix}^T$ ? Describe the set of vectors whose images are  $\vec{0}$ .

The domain of  $T$  is  $\mathbb{R}^3$ , the codomain is  $\mathbb{R}^2$ , the range is  $\left\{ x_2 \begin{bmatrix} 1 \\ 0 \end{bmatrix} \mid x_2 \in \mathbb{R} \right\}$  (so in fact the range is  $\mathbb{R}^1$ ).

$$T\left(\begin{bmatrix} 5 \\ -2 \\ -7 \end{bmatrix}\right) = \begin{bmatrix} -2 \\ 0 \end{bmatrix}$$

$$T(\vec{x}) = \vec{0} \Rightarrow T\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \\ \Rightarrow \begin{bmatrix} x_2 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$\Rightarrow x_2 = 0$$

So the set of preimages of  $\vec{0}$  under  $T$  have

$$\text{form } \begin{bmatrix} x_1 \\ 0 \\ x_3 \end{bmatrix} = \begin{bmatrix} x_1 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ x_3 \end{bmatrix} = x_1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + x_3 \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

[I have just explicitly shown that every vector that is a preimage of  $\vec{0}$  can be written as a linear combination

of  $\left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$ . The set of preimages of  $T$  is  $\text{span}\left(\left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}\right)$

★ If  $T_2$  were a linear transformation, then

$T\left(\neg \begin{bmatrix} 5 \\ -3 \\ 6 \end{bmatrix}\right)$  and  $\neg T\left(\begin{bmatrix} 5 \\ -3 \\ 6 \end{bmatrix}\right)$  would be equal. They're not, so  $T_2$  is not a linear transformation.

MTH 261 – Mr. Simonds' class

Top 10 concept

### Linear Transformations

A linear transformation,  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$ , is a transformation that satisfies both of the following properties.

$$T(\vec{u} + \vec{v}) = T(\vec{u}) + T(\vec{v}) \text{ and } T(c\vec{u}) = cT(\vec{u}) \quad \forall \vec{u}, \vec{v} \in \mathbb{R}^n \text{ and } c \in \mathbb{R}$$

### Example

Show that  $T_1\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} x_2 \\ 0 \end{bmatrix}$  is a linear transformation whereas  $T_2\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} x_2 \\ 1 \end{bmatrix}$  is not.

$$\begin{aligned} T_1(\vec{u} + \vec{v}) &= T_1\left(\begin{bmatrix} u_1 + v_1 \\ u_2 + v_2 \\ u_3 + v_3 \end{bmatrix}\right) \\ &= \begin{bmatrix} u_2 + v_2 \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} u_2 \\ 0 \end{bmatrix} + \begin{bmatrix} v_2 \\ 0 \end{bmatrix} \\ &= T_1(\vec{u}) + T_1(\vec{v}) \end{aligned} \quad \left| \quad \begin{aligned} T_1(k\vec{v}) &= T_1\left(\begin{bmatrix} kv_1 \\ kv_2 \\ kv_3 \end{bmatrix}\right) \\ &= \begin{bmatrix} kv_2 \\ 0 \end{bmatrix} \\ &= k \begin{bmatrix} v_2 \\ 0 \end{bmatrix} \\ &= k T_1(\vec{v}) \end{aligned}$$

QED

To prove that something is always true you must use variables (as above).

To prove that something is not always true the standard is to show one counterexample.

$$T_2\left(\neg \begin{bmatrix} 5 \\ -3 \\ 6 \end{bmatrix}\right) = T_2\left(\begin{bmatrix} 3 \\ -2 \\ 4 \end{bmatrix}\right) = \begin{bmatrix} -2 \\ 1 \end{bmatrix}$$

$$\neg T_2\left(\begin{bmatrix} 5 \\ -3 \\ 6 \end{bmatrix}\right) = \neg \begin{bmatrix} -3 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 7 \end{bmatrix} \quad \text{QED} \quad \star$$

**Example**

Draw  $T\left(\begin{bmatrix} -2 \\ 3 \end{bmatrix}\right)$  given that  $T$  is a linear transformation and the images for  $\vec{e}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\vec{e}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$  are those shown in Figure 1.

$$\begin{aligned}
 T\left(\begin{bmatrix} -2 \\ 3 \end{bmatrix}\right) &= T\left(-2\begin{bmatrix} 1 \\ 0 \end{bmatrix} + 3\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) \\
 &= T\left(-2\vec{e}_1 + 3\vec{e}_2\right) \\
 &= T(-2\vec{e}_1) + T(3\vec{e}_2) \\
 &= -2T(\vec{e}_1) + 3T(\vec{e}_2)
 \end{aligned}$$

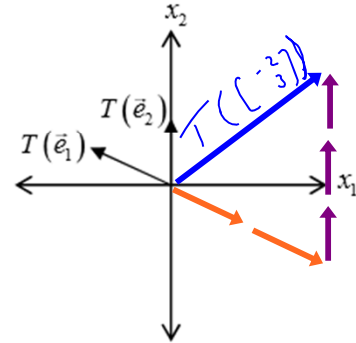


Figure 1: Transformation Vectors

**A definition and a very convenient fact**

The identity matrix,  $I_n$ , is the  $n \times n$  matrix that has 1s for every entry along the main diagonal and 0 for every other entry.

If we let  $\vec{e}_i$  represent the  $i^{\text{th}}$  column of  $I_n$ , then the images of  $\vec{e}_1, \vec{e}_2, \dots, \vec{e}_n$  under the linear transformation  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  completely determines all of the images under  $T$ .

**Example**

Suppose that  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  and that  $T(\vec{e}_1) = \begin{bmatrix} 3 \\ -2 \end{bmatrix}$ ,  $T(\vec{e}_2) = \begin{bmatrix} -1 \\ 4 \end{bmatrix}$ , and  $T(\vec{e}_3) = \begin{bmatrix} 5 \\ 0 \end{bmatrix}$ . (Assuming  $T$  is a linear transformation)

a. Determine  $T\left(\begin{bmatrix} -6 & 2 & 1 \end{bmatrix}^T\right)$ .

$$\begin{aligned}
 T\left(\begin{bmatrix} -6 \\ 2 \\ 1 \end{bmatrix}\right) &= T\left(-6\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + 2\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + 1\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}\right) \\
 &= T\left(-6\vec{e}_1 + 2\vec{e}_2 + 1\vec{e}_3\right) \\
 &= -6T(\vec{e}_1) + 2T(\vec{e}_2) + 1T(\vec{e}_3) \\
 &= -6\begin{bmatrix} 3 \\ -2 \end{bmatrix} + 2\begin{bmatrix} -1 \\ 4 \end{bmatrix} + 1\begin{bmatrix} 5 \\ 0 \end{bmatrix} \\
 &= \begin{bmatrix} -15 \\ 20 \end{bmatrix}
 \end{aligned}$$

$$T(\vec{e}_1) = \begin{bmatrix} 3 \\ -2 \end{bmatrix}, T(\vec{e}_2) = \begin{bmatrix} -1 \\ 4 \end{bmatrix}, \text{ and } T(\vec{e}_3) = \begin{bmatrix} 5 \\ 0 \end{bmatrix}$$

b. Find a matrix,  $M$ , with the property that  $T(\vec{x}) = M\vec{x} \quad \forall \vec{x} \in \mathbb{R}^3$ .

$$\begin{aligned} T\left(\begin{bmatrix} -6 \\ 2 \\ 1 \end{bmatrix}\right) &= -6T(\vec{e}_1) + 2T(\vec{e}_2) + 1T(\vec{e}_3) \\ &= -6\begin{bmatrix} 3 \\ -2 \end{bmatrix} + 2\begin{bmatrix} -1 \\ 4 \end{bmatrix} + 1\begin{bmatrix} 5 \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} -18 & -2 & 5 \\ 12 & 8 & 0 \end{bmatrix} \begin{bmatrix} -6 \\ 2 \\ 1 \end{bmatrix} \\ &\quad \uparrow \quad \uparrow \quad \uparrow \\ &\quad T(\vec{e}_1) \quad T(\vec{e}_2) \quad T(\vec{e}_3) \end{aligned}$$

$$T\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} T(\vec{e}_1) & T(\vec{e}_2) & T(\vec{e}_3) \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$$

**Theorem**

Every transformation of form  $T(\vec{x}) = A\vec{x}$  is a linear transformation and if  $T$  is a linear transformation there exists a unique matrix  $A$  such that  $T(\vec{x}) = A\vec{x}$ .

**Example**

Suppose that  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is a linear transformation. Find the matrix for  $T$  if  $T(\vec{e}_1) = \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix}$  and

$$T(\vec{e}_2) = \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix}.$$

$$\begin{aligned} T\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) &= T(x_1\vec{e}_1 + x_2\vec{e}_2) \\ &= x_1T(\vec{e}_1) + x_2T(\vec{e}_2) \\ &= x_1\begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + x_2\begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} \\ &= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \\ &\quad \uparrow \quad \uparrow \\ &\quad T(\vec{e}_1) \quad T(\vec{e}_2) \end{aligned}$$

Goal:  $T(\vec{u}) + T(\vec{v})$ **Example**Show that  $T(\vec{x}) = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \vec{x}$  is a linear transformation.

$$\begin{aligned}
T(\vec{u} + \vec{v}) &= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} u_1 + v_1 \\ u_2 + v_2 \end{bmatrix} \\
&= (u_1 + v_1) \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + (u_2 + v_2) \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} \\
&= u_1 \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + v_1 \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + u_2 \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} + v_2 \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} \\
&= u_1 \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + u_2 \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} + v_1 \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + v_2 \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} \\
&= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} + \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} \\
&= T(\vec{u}) + T(\vec{v})
\end{aligned}$$

Goal:  $kT(\vec{u})$ 

$$\begin{aligned}
T(k\vec{u}) &= \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} ku_1 \\ ku_2 \end{bmatrix} \\
&= ku_1 \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + ku_2 \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} \\
&= k \left( u_1 \begin{bmatrix} a_{11} \\ a_{21} \end{bmatrix} + u_2 \begin{bmatrix} a_{12} \\ a_{22} \end{bmatrix} \right) \\
&= k \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} \\
&= k T(\vec{u})
\end{aligned}$$

QED

**Example**

Find a matrix  $A$  with the property that  $T(\vec{x}) = A\vec{x}$  rotates each vector in the  $x_1x_2$ -plane by  $60^\circ$  in the counter-clockwise direction. Illustrate the effect of the transformation on the "unit square" shown in Figure 2.

We can determine  $A$  by first determining  $T(\vec{e}_1)$  and  $T(\vec{e}_2)$ .

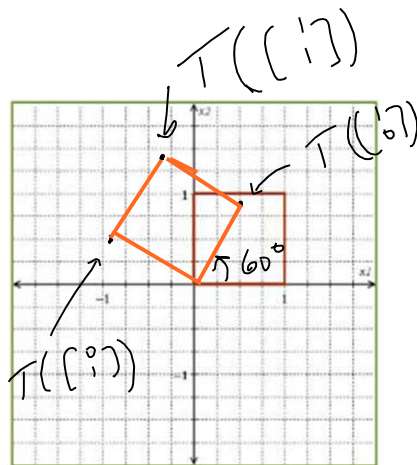
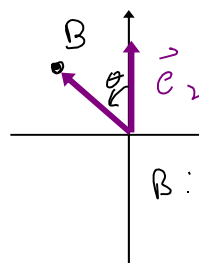
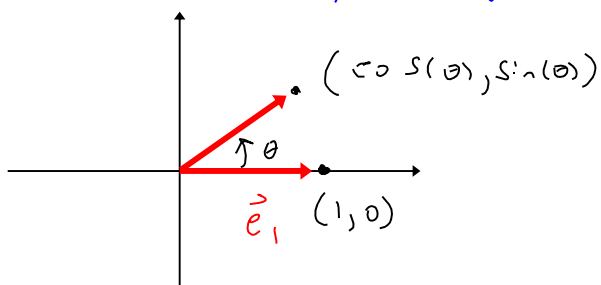


Figure 2: Rotated "unit square"

Let's apply a generic rotation  $\theta$ .



$$\therefore T(\vec{e}_1) = \begin{bmatrix} \cos(\theta) \\ \sin(\theta) \end{bmatrix} \text{ and } T(\vec{e}_2) = \begin{bmatrix} -\sin(\theta) \\ \cos(\theta) \end{bmatrix}$$

$$\text{So } T(\vec{x}) = A\vec{x} \text{ where } A = \begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}$$

$$= \begin{bmatrix} \cos(90^\circ + \theta) \\ \sin(90^\circ + \theta) \end{bmatrix} = \begin{bmatrix} \cos(90^\circ)\cos(\theta) - \sin(90^\circ)\sin(\theta) \\ \sin(90^\circ)\cos(\theta) + \cos(90^\circ)\sin(\theta) \end{bmatrix} = \begin{bmatrix} 0 \cdot \cos(\theta) - 1 \cdot \sin(\theta) \\ 1 \cdot \cos(\theta) + 0 \cdot \sin(\theta) \end{bmatrix} = \begin{bmatrix} -\sin(\theta) \\ \cos(\theta) \end{bmatrix}$$

We did this - you do not ever need to recreate the wheel

For  $\theta = 60^\circ$

$$\text{The transformation matrix is } A = \begin{bmatrix} \cos(60^\circ) & -\sin(60^\circ) \\ \sin(60^\circ) & \cos(60^\circ) \end{bmatrix}$$

$$= \begin{bmatrix} 1/2 & -\sqrt{3}/2 \\ \sqrt{3}/2 & 1/2 \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ \sqrt{3} & 1 \end{bmatrix} \quad (\text{For computational purposes})$$

$$\text{So } T(\vec{e}_1) = \begin{bmatrix} 1/2 \\ \sqrt{3}/2 \end{bmatrix}$$

$$\text{and } T(\vec{e}_2) = \begin{bmatrix} -\sqrt{3}/2 \\ 1/2 \end{bmatrix}$$

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$$T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) = \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ \sqrt{3} & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 - \sqrt{3} \\ \sqrt{3} + 1 \end{bmatrix}$$

$$\text{For graphing purposes: } T(\vec{e}_1) \approx \begin{bmatrix} .5 \\ .9 \end{bmatrix}, T(\vec{e}_2) \approx \begin{bmatrix} -.9 \\ .5 \end{bmatrix}, T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) \approx \begin{bmatrix} -.4 \\ 1.4 \end{bmatrix}$$

Transformations that are both surjective and injective are called bijective  
 $\hookrightarrow$  one-to-one and onto

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### Definitions and a Theorem

The linear transformation  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is onto  $\mathbb{R}^m$  if and only if the range of the transformation is  $\mathbb{R}^m$ ; that is, the transformation is onto  $\mathbb{R}^m$  if and only if every vector in  $\mathbb{R}^m$  is the image of at least one vector in  $\mathbb{R}^n$ .

Surjective

The linear transformation  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is one-to-one if and only if  $T(\vec{u}) = T(\vec{v}) \Leftrightarrow \vec{u} = \vec{v}$ . It is trivially shown that the transformation is one-to-one if and only if the only solution to  $T(\vec{x}) = \vec{0}$  is  $\vec{0}$ .

Injective

### Example

Let  $A = \begin{bmatrix} 3 & -2 & -16 \\ 2 & 4 & 16 \end{bmatrix}$ . Determine whether or not the linear transformation  $T(\vec{x}) = A\vec{x}$  is onto and also whether or not it is one-to-one.

$$\begin{matrix} A & \vec{x} & \text{equals} \\ 2 \times 3 & 3 \times 1 & 2 \times 1 \end{matrix}$$

$$T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$$

A transformation from  $\mathbb{R}^3$  to  $\mathbb{R}^2$  cannot possibly be one-to-one. If we smush every vector from  $\mathbb{R}^3$  onto the  $xy$ -plane, inevitably some vectors (points) will lie smack dab on top of other vectors (points). [ Similarly,  $T_1: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  cannot possibly be onto  $\mathbb{R}^3$ . ]

Is  $T$  onto  $\mathbb{R}^2$ ?

Is there always at least one solution to  $A\vec{x} = \vec{b}$  for any  $\vec{b} \in \mathbb{R}^2$ ?

$$\begin{bmatrix} 3 & -2 & -16 \\ 2 & 4 & 16 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix} \Rightarrow x_1 \begin{bmatrix} 3 \\ 2 \end{bmatrix} + x_2 \begin{bmatrix} -2 \\ 4 \end{bmatrix} + x_3 \begin{bmatrix} -16 \\ 16 \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$$

$$\begin{bmatrix} 3 & -2 & -16 & | & b_1 \\ 2 & 4 & 16 & | & b_2 \end{bmatrix} \xrightarrow{-\frac{2}{3}R_1 + R_2} R_2 \begin{bmatrix} 3 & -2 & -16 & | & b_1 \\ 0 & \frac{16}{3} & \frac{80}{3} & | & b_2 - \frac{2}{3}b_1 \end{bmatrix} \text{ (REF)}$$

This resultant system is always consistent, so  $T$  is onto  $\mathbb{R}^2$ .

$$T\left(\begin{bmatrix} x \\ y \\ z \end{bmatrix}\right) = \begin{bmatrix} x \\ y \end{bmatrix} \text{ is } \underline{\text{onto } \mathbb{R}^2}$$

$T$  is most definitely not one-to-one.

$$T\left(\begin{bmatrix} 2 \\ 1 \\ 7 \end{bmatrix}\right) = \begin{bmatrix} 2 \\ 1 \end{bmatrix} \quad T\left(\begin{bmatrix} 2 \\ 1 \\ 4 \end{bmatrix}\right) = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

two different inputs have the same output

$$Q\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x \\ 12 \\ y \end{bmatrix} \text{ is not } \underline{\text{onto } \mathbb{R}^3}$$

eg.  $\begin{bmatrix} 1 \\ 3 \\ 1 \end{bmatrix}$  has no preimage under  $Q$ ;

nothing maps to  $\begin{bmatrix} 1 \\ 3 \\ 1 \end{bmatrix}$  ← 3 is not 12

$Q$  is one-to-one; changing either  
 $x$  or  $y$  changes  $\begin{bmatrix} x \\ 12 \\ y \end{bmatrix}$